# appreci8R – an R/Bioconductor package for filtering SNVs and short indels with high sensitivity and high PPV

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#### November 4, 2025

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## 1 Introduction

For the use of next-generation sequencing in clinical routine valid variant calling results are crucial. However, numerous variant calling tools are available. These tools usually differ in the variant calling algorithsms, the characteristics reported along with the variant calls, the recommended filtration strategies for the raw calls and thus, also in the output. Especially when calling variants with a low variant allele frequency (VAF), perfect results are hard to obtain. High sensitivity is usually accompanied by low positive predictive value (PPV).

appreci8R is a package for combining and filterating the output of differen variant calling tools according to the 'appreci8'-algorithm [3]. vcf as well as txt files containing variant calls can be evaluated. The number of variant calling tools to consider is unlimited (for the user interface version it is limited to 13). The final output contains a list of variant calls, classified as "probably true", "polymophism" or "artifact".

Important note: Currently, only hg19 is supported.

#### 1.1 Loading the package

The package can be downloaded and installed with

> BiocManager::install("appreci8R")

After installation, the package can be loaded into R by typing

#### > library(appreci8R)

into the R console.

appreci8R requires the R-packages shiny, shinyjs, DT, VariantAnnotation, BSgenome, BSgenome. Hsapiens. UCSC.hg1 TxDb.Hsapiens. UCSC.hg19.knownGene, Homo.sapiens, SNPlocs. Hsapiens. dbSNP144. GRCh37, XtraSNPlocs. Hsapiens. drsnps, Biostrings, MafDb.1Kgenomes.phase3.hs37d5, MafDb.ExAC.r1.0.hs37d5, MafDb.gnomADex.r2.1.hs37d5, COSMIC.67, rentrez, PolyPhen. Hsapiens. dbSNP131, SIFT. Hsapiens. dbSNP137, seqinr, openxlsx, Rsamtools, stringr, utils, stats, GenomicRanges, S4Vectors, GenomicFeatures, IRanges, GenomicScores and SummarizedExperiment. All of them are loaded automatically when using appreci8R.

# 2 appreci8R – classical

#### 2.1 1st analysis step: Target filtration

In the 1st analysis step, all off-target calls are excluded from further analysis.

The function filterTarget covers two steps: reading input and target filtration. Available arguments are:

- output\_folder The folder to write the output files into. If an empty string is provided, no files are written out.
- caller\_name Name of the variant calling tool (only necessary if an output folder is provided).
- caller\_folder Folder containing the variant calling results.
- caller\_file\_names\_add Suffix for naming the variant calling files. If an empty string is provided, it is assumed that the files only contain the sample name, e.g. "Sample1.vcf".
- caller\_file\_type File type of the variant calling results (".vcf" or ".txt").
- caller\_snv\_indel SNVs and indels are reported in the same file (TRUE or FALSE).
- caller\_snv\_names\_add Suffix for naming the variant calling files containing SNVs (only evaluated if caller\_snv\_indel==TRUE).
- caller\_indel\_names\_add Suffix for naming the variant calling files containing indels (only evaluated if caller\_snv\_indel==TRUE).
- caller\_chr Column of the variant calling input containing information on chr (default: 1).
- caller\_pos Column of the variant calling input containing information on pos (default: 2).

- caller\_ref Column of the variant calling input containing information on ref (default: 4).
- caller\_alt Column of the variant calling input containing information on alt (default: 5).
- targetRegions Data frame object containing the target regions to be analyzed (bed-format: 1st column chr, 2nd column 0-based start pos, 3rd column 1-based end pos). Or: GRanges object containing the target regions to be analyzed.

First, all files in caller\_folder of the file type caller\_file\_type with the suffix caller\_file\_names\_add are read. Sample names are automatically derived from the file names (e.g. a sample name would be called "Sample1" if a file was called "Sample1.txt" and no suffix was defined; a sample would be called "Sample1.mutations" if a file was called "Sample1.mutations.vcf" and no suffix was defined, but "Sample1" if the suffix ".mutations" was defined).

If SNVs and indels are reported in separated files (in the same folder), caller\_snv\_indel==TRUE and caller\_snv\_names\_add and caller\_indel\_names\_add are defined, input from two files per sample is read and automatically combined (e.g. a sample would be called "Sample1" if files "Sample1.SNV.vcf" and "Sample1.indel.vcf" are read and caller\_snv\_indel==TRUE, caller\_snv\_names\_add was defined as ".SNV" and caller\_indel\_names\_add was defined as ".indel").

Subsequently, the read variant calling results are filtered according to the defined target region. All off-target calls are excluded from further analysis. A list of data.frames (one list per sample) with all on-target calls is returned. Every data.frame contains the columns: the SampleID (taken from the input file names), Chr., Pos, Ref and Alt.

Exemplary filtration of two vcf-files Sample1.rawMutations.vcf and Sample2.rawMutations.vcf:

```
> output_folder<-""</pre>
> target < -data.frame(chr = c("2", "4", "12", "17", "21", "X"),
+
                      start = c(25469500, 106196950, 12046280, 7579470, 36164400, 15838363),
                      end = c(25469510, 106196960, 12046350, 7579475, 36164410, 15838366))
+
 target
  chr
          start
                       end
1
    2
       25469500
                 25469510
2
    4 106196950 106196960
3
  12
       12046280
                  12046350
4
   17
        7579470
                   7579475
5
   21
       36164400
                 36164410
6
       15838363
                 15838366
> caller_folder <- system.file("extdata", package = "appreci8R")</pre>
> #Input data Sample1:
> read.table(paste(caller_folder, "/Sample1.rawMutations.vcf", sep=""),
             header = FALSE, sep = "\t", stringsAsFactors = FALSE)
+
  ۷1
           ٧2
                      V3 V4 V5
                                     V6 V7 V8
                                                           ۷9
                                                                                           V10
   2 25469502 rs2276598
                                         . NA GT:AD:DP:GQ:PL 0/1:111,113:224:99:3461,0,3634
                          C
                             T 3432.77
2 12 12044195 rs1058028
                          Τ
                             С
                               2953.77
                                         . NA GT:AD:DP:GQ:PL
                                                                 0/1:91,97:188:99:2982,0,3095
3 17
      7579472 rs1042522
                          G
                             C 3074.77
                                         . NA GT:AD:DP:GQ:PL
                                                                    1/1:0,75:75:99:3103,224,0
 X 15838366 rs2301724
                                         . NA GT:AD:DP:GQ:PL 0/1:161,106:267:99:3603,0,5853
                         C T 3574.77
```

```
> #Input data Sample2:
> read.table(paste(caller_folder, "/Sample2.rawMutations.vcf", sep=""),
             header = FALSE, sep = "\t", stringsAsFactors = FALSE)
  ۷1
                        V3 V4
                                 ۷5
                                         ۷6
                                                  V7 V8
                                                                     ۷9
  4 106196951
                                  G 3135.77
                rs2454206
                           Α
                                                   . NA GT:AD:DP:GQ:PL
                                                                          0/1:84,86:170:99:3164,0,3076
2 12
      12046289 rs10649660
                           C CAAAG
                                      15.85 LowQual NA GT:AD:DP:GQ:PL
                                                                              0/1:52,5:57:53:53,0,2353
3 12
                                  G 2711.77
                                                   . NA GT:AD:DP:GQ:PL
                                                                          0/1:94,81:175:99:2740,0,3467
      12046341
                rs2855748
                           Α
4 17
                                  C 1264.77
                                                   . NA GT:AD:DP:GQ:PL
                                                                              1/1:0,31:31:93:1293,93,0
       7579472
                rs1042522
                           G
5 20
      31025689 rs41289852
                           G
                                  C 4098.77
                                                   . NA GT:AD:DP:GQ:PL 0/1:120,120:240:99:4127,0,4038
6 21
      36164405 rs13051066
                                     270.77
                                                   . NA GT:AD:DP:GQ:PL
                                                                              0/1:4,10:14:99:299,0,119
> filterTarget(output_folder, "GATK", caller_folder, ".rawMutations",
               ".vcf", TRUE, "", "", targetRegions = target)
[[1]]
  SampleID Chr
                    Pos Ref Alt
1 Sample1
             2 25469502
  Sample1
                           G
                               C
            17
                7579472
  Sample1
                           C
                               Τ
             X 15838366
[[2]]
                                Alt
  SampleID Chr
                     Pos Ref
  Sample2
             4 106196951
                            Α
                                  G
                            C CAAAG
2 Sample2
            12
                12046289
3
  Sample2
            12
                12046341
                            Α
                                  G
```

#### 2.2 2nd analysis step: Normalization

7579472

36164405

G

C

Т

Sample2

Sample2

17

21

In the 2nd analysis step, all calls are normalized with respect to reporting indels, MNVs, reporting of several alternate alleles and reporting of complex indels. Available arguments are:

- output\_folder The folder to write the output files into. If an empty string is provided, no files are written out.
- caller\_name Name of the variant calling tool (only necessary if an output folder is provided).
- target\_calls List of data.frames. One list element per sample. FilterTarget()-output can directly be taken as input.
- caller\_indels\_pm Deletions are reported with a "minus" (e.g. C > -A), insertions are reported with a "plus" (e.g. C > +A) (TRUE or FALSE).
- caller\_mnvs MNVs are reported (e.g. CA > GT instead of C > G and A > T; or CGAG > TGAT instead of C > T and G > T) (TRUE or FALSE).

The function normalize covers two to four normalization steps:

- 1. Check alternative bases: Calls containing a "comma" are split up. A call like C > A,G is converted to C > A and an additional C > G call. This enables evaluation of the output of different callers while caller1 reports C > A,G, caller2 only reports C > A and caller3 only reports C > G. This normalization step is always performed.
- 2. Find string differences: Calls are checked for un-mutated bases. The smallest option of reporting a variant at the left-most position is chosen. For example, CAAAC > CAAC is converted to CA > C. This normalization step is always performed.
- 3. Convert indels: If deletions are reported with a "minus" and insertions are reported with a "plus", these are converted. An deletion like C > -G is converted to CG > C, while an insertion like C > +G is converted to C > CG. This normalization step is only performed if caller\_indels\_pm is TRUE.
- 4. Convert MNVs: If MNVs are reported, these are converted. This enables evaluation of the output of different callers if not all callers report all mutations being part of an MNV. A call like CA > GT is split up to a C > G and an A > T variant. But also a call like CGAG > TGAT is split up to C > T and G > T (G > G and A > A are not reported as they do not pass the normalization step "Find string differences"). This normalization step is only performed if caller\_mnvs is TRUE.

A GRanges object with all normalized calls is returned (metadata columns: SampleID, Ref, Alt). If an output folder is provided, the output is saved as <caller\_name>.normalized.txt. Exemplary normalization of a set of raw calls:

```
> output_folder <- ""</pre>
> caller_name <- ""
> sample1 <- data.frame(SampleID = c("Sample1", "Sample1", "Sample1"),</pre>
                          Chr = c("2", "17", "X"),
                          Pos = c(25469502, 7579472, 15838366),
                          Ref = c("CAG", "G", "C"),
                          Alt = c("TAT", "C", "T, A"),
                          stringsAsFactors = FALSE)
 sample2 <- data.frame(SampleID = c("Sample2", "Sample2", "Sample2", "sample2"),</pre>
                          Chr = c("4", "12", "12", "21"),
                          Pos = c(106196951, 12046289, 12046341, 36164405),
                          Ref = c("A", "C", "A", "GGG"),
                          Alt = c("G", "+AAAG", "G", "TGG"),
                          stringsAsFactors = FALSE)
> #Input:
> input <- list(sample1, sample2)</pre>
> input
[[1]]
  SampleID Chr
                      Pos Ref Alt
1 Sample1
              2 25469502 CAG TAT
2 Sample1
                 7579472
                            G
                                 C
            17
3 Sample1
              X 15838366
                            C T,A
```

```
[[2]]
  SampleID Chr
                     Pos Ref
                               Alt
1 Sample2
             4 106196951
                           Α
                                 G
                           C +AAAG
2 Sample2
            12
               12046289
            12
  Sample2
                12046341
                                 G
                           Α
  sample2
           21
                36164405 GGG
                               TGG
> normalized <- appreci8R::normalize(output_folder, caller_name, input,
                                      TRUE, TRUE)
> normalized
```

GRanges object with 9 ranges and 3 metadata columns:

	seqnames	ranges	strand	l	SampleID	Ref	Alt
	<rle></rle>	<pre><iranges></iranges></pre>	<rle></rle>	l	<character></character>	<character></character>	<character></character>
2	17	7579472	*	l	Sample1	G	C
3	Х	15838366	*	l	Sample1	C	Т
4	4	106196951	*	l	Sample2	A	G
5	12	12046289	*	l	Sample2	C	CAAAG
6	12	12046341	*	l	Sample2	A	G
31	Х	15838366	*	l	Sample1	C	A
1	2	25469502	*	l	Sample1	C	T
7	21	36164405	*	l	sample2	G	T
12	2	25469504	*	l	Sample1	G	T

seqinfo: 6 sequences from an unspecified genome; no seqlengths

#### 2.3 3rd analysis step: Annotation

In the 3rd analysis step, all calls are annotated (using VariantAnnotation) and filtered according to the selected locations and consequences of interest.

The function annotate performs annotations of all variants in the input GRanges object (according to VariantAnnotation [2]) and filteres the annotated calls with respect to the selected locations and consequences of interest (based on the functions locateVariants and predictCoding of the package VariantAnnotation). At least one location of interest has to be chosen. If - among others - "coding" is selected, at least one consequence of interest has to be chosen as well. Avilable input arguments are:

- output\_folder The folder to write the output files into. If an empty string is provided, no files are written out.
- caller\_name Name of the variant calling tool (only necessary if an output folder is provided).
- normalized\_calls A GRanges object. One normalized variant call per line. Necessary metadata columns are: SampleID, Ref, Alt. Normalize()-output can directly be taken as input.
- locations A vector containing the locations of interest. Accepted values are: coding, intron, threeUTR, fiveUTR, intergenic, spliceSite, promoter.

• consequences A vector containing the consequences of interest. Accepted values are: synonymous, nonsynonymous, frameshift, nonsense, not translated.

All possible transcripts are investigated. If "coding" is chosen and a variant is annotated as "coding" in only 1 out of many transcripts, the matching transcript is, together with the annotation information, reported. All the other non-matching transcripts are not reported.

A GRanges object is returned containing only the calls at the selected locations of interest and with the selected consequences of interest. Reported metadata columns are: SampleID, Ref, Alt, Location, c. (position of variant on cDNA level), p. (position of variant on protein level), AA\_ref, AA\_alt, Codon\_ref, Codon\_alt, Consequence, Gene, GeneID, TranscriptID. TxDb.Hsapiens.UCSC.hg19.knownGene is used for annotation. Whenever there is more than one transcriptID, all of them are reported, separated by commas. The first transcriptID matches the first entry under Location, c., p. etc.

If an output folder is provided, the output is saved as <caller\_name>.annotated.txt. Exemplary annotation of a set of normalized calls:

```
> library(GenomicRanges)
> output_folder <- ""
> caller_name <- ""
> input <- GRanges(seqnames = c("2", "X", "4", "21"),
                   ranges = IRanges(start = c (25469504, 15838366, 106196951, 36164405),
                                     end = c (25469504,15838366,106196951,36164405)),
                    SampleID = c("Sample1", "Sample1", "Sample2", "Sample2"),
                   Ref = c("G", "C", "A", "G"),
                   Alt = c("T", "A", "G", "T"))
> #Input:
> input
GRanges object with 4 ranges and 3 metadata columns:
      seqnames
                  ranges strand |
                                      SampleID
                                                        Ref
                                                                     Alt
         <Rle> <IRanges> <Rle> | <character> <character> <character>
                                       Sample1
  [1]
             2 25469504
                               * |
                                                          G
                                                                       Т
  [2]
             X 15838366
                               * |
                                       Sample1
                                                          С
                                                                       Α
  [3]
             4 106196951
                               * |
                                       Sample2
                                                          Α
                                                                       G
  Γ41
            21 36164405
                                       Sample2
                                                                       Т
  seqinfo: 4 sequences from an unspecified genome; no seqlengths
> library(GO.db)
> library(org.Hs.eg.db)
> library(TxDb.Hsapiens.UCSC.hg19.knownGene)
> library(Biostrings)
> annotated <- annotate(output_folder, caller_name, input,
               locations = c("coding", "spliceSite"),
+
               consequences = c("nonsynonymous", "frameshift", "nonsense"))
```

> annotated

```
GRanges object with 3 ranges and 14 metadata columns:
      segnames
                   ranges strand |
                                        SampleID
                                                           Ref
                                                                        Alt
                                                                                           Location
                                     <character> <character> <character>
         <Rle> <IRanges>
                            <Rle> |
                                                                                        <character>
  [1]
              2 25469504
                                         Sample1
                                                             G
                                                                          T coding, coding, coding...
                                                             \mathsf{C}
  [2]
              X
                15838366
                                         Sample1
                                                                          A coding, coding, coding...
  [3]
              4 106196951
                                         Sample2
                                                                              coding, coding, coding
                                                             Α
                            с.
                                                              AA_ref
                                                                           AA_alt
                                                                                                 Codon_ref
                                                     p.
                                           <character> <character> <character>
                  <character>
                                                                                               <character>
  [1] 1264,1264,697,1264,5.. 422,422,233,422,199,.. L,L,L,L,L,L,M,M,M,M,M,M,M,CTG,CTG,CTG,CTG,CTG,...
  [2]
              864,864,864,786
                                       288,288,288,262
                                                             N,N,N,N
                                                                          K,K,K,K
                                                                                          AAC, AAC, AAC, AAC
  [3]
               5347,5284,5284
                                        1783,1762,1762
                                                               I,I,I
                                                                            V,V,V
                                                                                               ATA, ATA, ATA
                                           Consequence
                                                                                                   GeneID
                    Codon_alt
                                                                            Gene
                  <character>
                                           <character>
                                                                     <character>
                                                                                              <character>
  [1] ATG, ATG, ATG, ATG, ATG, ... nonsynonymous, nonsyn.. DNMT3A, DNMT3A, DNMT3A.. 1788, 1788, 1788, 1788, ...
  [2]
              AAA, AAA, AAA, AAA nonsynonymous, nonsyn.. ZRSR2, ZRSR2, ZRSR2, ZR...
                                                                                     8233,8233,8233,8233
  [3]
                  GTA, GTA, GTA nonsynonymous, nonsyn...
                                                                 TET2, TET2, TET2
                                                                                       54790,54790,54790
                 TranscriptID
                  <character>
  [1] 49601,49602,49603,49...
  [2] 369217,369218,369220...
  [3]
           90440,90441,90443
  seqinfo: 4 sequences from an unspecified genome; no seqlengths
```

#### 2.4 4th analysis step: Output combination

In the 4th analysis step, all normalized and annotated calls of the different variant calling tools are combined.

The function combineOutput performs a combination of the normalized and annotated variant calls from different variant calling tools. The results are sorted according to Chr, Pos, Ref, Alt and SampleID. If two callers - caller1 and caller2 - report the same variant for the same sample, it is only reported once in the output file with a "1" in the column "caller1" and another "1" in the column "caller2". Available arguments are:

- output\_folder The folder to write the output files into. If an empty string is provided, no files are written out.
- caller\_names Vector containing the name of the variant calling tools.
- annotated\_calls A GRangesList object. Every list element contains the variant calls of one variant calling tool. Annotate()-output can directly be taken as input. Providing a list with only one list element, i.e. evaluating only one variant calling tool, is possible, but not assumed to be useful. The point of the appreci8-algorithm lies in the combined evaluation and filtration of the output of several variant calling tools.

A GRanges object is returned containing all combined calls. Reported metadata columns are: SampleID, Ref, Alt, Location, c. (position of variant on cDNA level), p. (position of variant on

protein level), AA\_ref, AA\_alt, Codon\_ref, Codon\_alt, Consequence, Gene, GeneID, TranscriptID. In addition, one column for every variant calling tool is reported, containing a "1" for every call detected by that tool and "NA" for every call not detected by that tool. If an output folder is provided, the output is saved as Results Raw.txt.

Exemplary combination of a set of annotated calls:

```
> output_folder <- ""</pre>
> caller_name <- ""
> #Input:
> gatk <- annotated[c(1,3),]</pre>
> varscan <- annotated[c(2,3),]</pre>
> annotated<-GRangesList()
> annotated[[1]]<-gatk</pre>
> annotated[[2]]<-varscan</pre>
> annotated
GRangesList object of length 2:
[[1]]
GRanges object with 2 ranges and 14 metadata columns:
      seqnames
                   ranges strand |
                                       SampleID
                                                                      Alt
                                                                                         Location
                                                         Ref
         <Rle> <IRanges>
                           <Rle> | <character> <character> <character>
                                                                                      <character>
  [1]
             2 25469504
                                * |
                                        Sample1
                                                           G
                                                                        T coding, coding, coding...
  [2]
             4 106196951
                                        Sample2
                                                           Α
                                                                            coding, coding, coding
                                                    p.
                                                            AA_ref
                                                                                               Codon_ref
                                          <character> <character> <character>
                                                                                            <character>
                  <character>
  [1] 1264,1264,697,1264,5.. 422,422,233,422,199,.. L,L,L,L,L,L,M,M,M,M,M,M,M,CTG,CTG,CTG,CTG,CTG,...
  [2]
              5347,5284,5284
                                       1783,1762,1762
                                                             I,I,I
                                                                          V,V,V
                                                                                            ATA, ATA, ATA
                    Codon_alt
                                          Consequence
                                                                          Gene
                                                                                                 GeneID
                  <character>
                                          <character>
                                                                   <character>
                                                                                           <character>
  [1] ATG,ATG,ATG,ATG,ATG,.. nonsynonymous,nonsyn.. DNMT3A,DNMT3A.. 1788,1788,1788,1788,...
  [2]
                 GTA, GTA, GTA nonsynonymous, nonsyn..
                                                               TET2, TET2, TET2
                                                                                     54790,54790,54790
                 TranscriptID
                  <character>
  [1] 49601,49602,49603,49...
  [2]
           90440,90441,90443
  seqinfo: 4 sequences from an unspecified genome; no seqlengths
[[2]]
GRanges object with 2 ranges and 14 metadata columns:
                   ranges strand |
                                       SampleID
                                                         Ref
                                                                      Alt
                                                                                         Location
         <Rle> <IRanges>
                           <Rle> | <character> <character> <character>
                                                                                      <character>
             X 15838366
                                        Sample1
  [1]
                                * |
                                                           C
                                                                        A coding, coding, coding...
  [2]
             4 106196951
                                        Sample2
                                                           Α
                                                                        G
                                                                            coding, coding, coding
                                             AA_ref
                                                          AA_alt
                                                                        Codon_ref
                                                                                         Codon_alt
                                     р.
                                                                      <character>
                                                                                       <character>
          <character>
                           <character> <character> <character>
  [1] 864,864,864,786 288,288,288,262
                                            N,N,N,N
                                                         K,K,K,K AAC,AAC,AAC AAA,AAA,AAA,AAA
```

```
5347,5284,5284 1783,1762,1762
                                                           V,V,V
                                                                      ATA, ATA, ATA
                                                                                        GTA, GTA, GTA
                                               I,I,I
                  Consequence
                                                  Gene
                                                                     GeneID
                                                                                        TranscriptID
                  <character>
                                          <character>
                                                                <character>
                                                                                         <character>
  [1] nonsynonymous,nonsyn.. ZRSR2,ZRSR2,ZRSR2,ZR.. 8233,8233,8233,8233 369217,369218,369220...
                                                         54790,54790,54790
  [2] nonsynonymous, nonsyn...
                                       TET2, TET2, TET2
                                                                                  90440,90441,90443
  seqinfo: 4 sequences from an unspecified genome; no seqlengths
> combined <- combineOutput("", c("GATK", "VarScan"), annotated)
> combined
GRanges object with 3 ranges and 16 metadata columns:
                 ranges strand |
                                     SampleID
                                                       Ref
                                                                    Alt
                                                                                        Location
    segnames
       <Rle> <IRanges>
                         <Rle> | <character> <character> <character>
                                                                                    <character>
  2
             25469504
                                      Sample1
                                                         G
                                                                      T coding, coding, coding...
  3
           4 106196951
                                      Sample2
                                                         Α
                                                                           coding, coding, coding
  4
                                                         C
                                                                      A coding, coding, coding...
              15838366
                                      Sample1
                                                          AA_ref
                                                                       AA_alt
                                                                                             Codon_ref
                         с.
                                                  р.
                <character>
                                        <character> <character> <character>
                                                                                           <character>
  2 1264,1264,697,1264,5.. 422,422,233,422,199,.. L,L,L,L,L,L,M,M,M,M,M,M,M,M,CTG,CTG,CTG,CTG,...
  3
            5347,5284,5284
                                     1783,1762,1762
                                                           I,I,I
                                                                         V,V,V
                                                                                           ATA, ATA, ATA
  4
           864,864,864,786
                                    288,288,288,262
                                                         N,N,N,N
                                                                                      AAC, AAC, AAC, AAC
                                                                      K,K,K,K
                  Codon_alt
                                        Consequence
                                                                        Gene
                                                                                               GeneID
                <character>
                                        <character>
                                                                 <character>
                                                                                          <character>
  2 ATG, ATG, ATG, ATG, ATG, ... nonsynonymous, nonsyn... DNMT3A, DNMT3A... 1788, 1788, 1788, 1788, ...
                                                              TET2, TET2, TET2
  3
                GTA, GTA, GTA nonsynonymous, nonsyn...
                                                                                   54790,54790,54790
  4
           AAA, AAA, AAA nonsynonymous, nonsyn.. ZRSR2, ZRSR2, ZRSR2, ZR...
                                                                                 8233,8233,8233,8233
              TranscriptID
                                  GATK
                                         VarScan
                <character> <numeric> <numeric>
  2 49601,49602,49603,49...
                                     1
                                               NA
         90440,90441,90443
  3
                                     1
                                                1
  4 369217,369218,369220...
                                    NA
                                                1
```

seqinfo: 3 sequences from an unspecified genome; no seqlengths

#### 2.55th analysis step: Coverage and BQ determination

files are written out.

[2]

In the 5th analysis step, all calls are evaluated with respect to coverage and basequality (using Rsamtools [1]). Calls with insufficient coverage and/or basequality are filtered from further analysis. The function evaluateCovAndBQ evaluates coverage and base quality of all calls and filteres them according to user-definable thresholds. Only those calls that feature sufficient coverage and

- base quality are reported. Filtration is performed in a 2-step procedure. Available arguments are: • output\_folder The folder to write the output files into. If an empty string is provided, no
  - combined\_calls A GRanges object; necessary input object. The GRanges object contains one call per line. CombineOutput()-output can directly be taken as input.

- bam\_folder The folder containing the bam- and bai-files for every sample. The file names have to match the sample names, i.e. Sample1.bam and Sample1.bai if Sample1.vcf (without any defined suffixes) was analyzed for caller1.
- dp Minimum depth that is required for a call to pass the filter (default: 50).
- nr\_alt Minimum number of reads carrying the alternate allele that is required for a call to pass the filter (default: 20).
- vaf Minimum VAF that is required for a call to pass the filter (default: 0.01).
- bq Minimum base quality that is required for a call to pass the filter (default: 15; values above 44 not recommended).
- bq\_diff Maximum difference in basequality between reference and alternative that is allowed for a call to pass the filter (default: 7).

First, coverage is evaluated using Rsamtools pileup and a threshold of min\_base\_quality=0. If depth is below the defined threshold dp and/or the number of reads carrying the alternate allele is below the defined threshold nr\_alt and/or VAF is below the defined threshold VAF, a call is excluded. However, if coverage is sufficient, base quality - which is more time-consuming - is evaluated.

To evaluate base quality, the threshold for min\_base\_quality is successively increased from 0 to 44 (thus, no values above 44 are recommended for bq). The average base quality for all reads carrying the reference and the alternate allele is calculated. For indels, which are always summed up as "+" by Rsamtools, no base quality can be determined. If the average base quality of the alternate allele is lower than bq, the call is filtered. If "average base quality reference allele" - "average base quality alternate allele" is higher than bq\_diff, the call is filtered.

Coverage is reported with respect to the forward- and reverse reads separately. It is not yet evaluated. This is done in the 7th analysis step (finalFiltration).

A GRanges object is returned containing all calls with sufficient coverage and base quality. Reported metadata columns are: SampleID, Ref, Alt, Location, c. (position of variant on cDNA level), p. (position of variant on protein level), AA\_ref, AA\_alt, Codon\_ref, Codon\_alt, Consequence, Gene, GeneID, TranscriptID, Caller1 to CallerX (dependent on the number of callers that is evaluated), Nr\_Ref, Nr\_Alt, DP, VAF, BQ\_REF, BQ\_ALT, Nr\_Ref\_fwd, Nr\_Alt\_fwd, DP\_fwd, VAF\_rev, Nr\_Ref\_rev, Nr\_Alt\_rev, DP\_rev, VAF\_rev. If an output folder is provided, the output is saved as Results\_Frequency.txt.

Exemplary filtration of a set of combined calls:

```
> output_folder <- ""
> bam_folder <- system.file("extdata", package = "appreci8R")
> bam_folder <- paste(bam_folder, "/", sep="")
> #Input:
> combined
GRanges object with 3 ranges and 16 metadata columns:
                ranges strand |
                                    SampleID
                                                                  Alt
                                                                                     Location
                        <Rle> | <character> <character> <character>
       <Rle> <IRanges>
                                                                                  <character>
  2
           2 25469504
                             * |
                                     Sample1
                                                        G
                                                                    T coding, coding, coding...
```

```
X 15838366
                                      Sample1
                                                         C
                                                                      A coding, coding, coding...
                                                 р.
                                                          AA_ref
                                                                       AA_alt
                                                                                            Codon_ref
                <character>
                                        <character> <character> <character>
                                                                                          <character>
  2 1264,1264,697,1264,5.. 422,422,233,422,199,.. L,L,L,L,L,L,M,M,M,M,M,M,M,M,CTG,CTG,CTG,CTG,...
  3
            5347,5284,5284
                                    1783,1762,1762
                                                           I,I,I
                                                                        V,V,V
                                                                                          ATA,ATA,ATA
                                    288,288,288,262
  4
           864,864,864,786
                                                         N, N, N, N
                                                                      K,K,K,K
                                                                                      AAC, AAC, AAC, AAC
                  Codon_alt
                                        Consequence
                                                                        Gene
                                                                                              GeneID
                <character>
                                        <character>
                                                                <character>
                                                                                         <character>
  2 ATG, ATG, ATG, ATG, ATG, ... nonsynonymous, nonsyn.. DNMT3A, DNMT3A, DNMT3A.. 1788, 1788, 1788, 1788, ...
               GTA, GTA, GTA nonsynonymous, nonsyn...
                                                             TET2, TET2, TET2
                                                                                  54790,54790,54790
  4
           AAA, AAA, AAA nonsynonymous, nonsyn.. ZRSR2, ZRSR2, ZRSR2, ZR...
                                                                                8233,8233,8233,8233
              TranscriptID
                                  GATK
                                         VarScan
                <character> <numeric> <numeric>
  2 49601,49602,49603,49...
         90440,90441,90443
                                               1
  3
                                    1
  4 369217,369218,369220...
                                               1
                                    NA
  seqinfo: 3 sequences from an unspecified genome; no seqlengths
> filtered<-evaluateCovAndBQ(output_folder, combined, bam_folder, bq_diff = 20,
                              vaf = 0.001)
> filtered
GRanges object with 2 ranges and 30 metadata columns:
                ranges strand |
                                     SampleID
                                                                    Alt
                                                                                       Location
       <Rle> <IRanges>
                         <Rle> | <character> <character> <character>
                                                                                   <character>
  3
           4 106196951
                             * |
                                      Sample2
                                                         Α
                                                                          coding, coding, coding
  4
           X 15838366
                                      Sample1
                                                         C
                                                                      A coding, coding, coding..
                                  р.
                                           AA_ref
                                                        AA_alt
                                                                      Codon_ref
                                                                                       Codon_alt
        <character>
                                                                    <character>
                                                                                     <character>
                         <character> <character> <character>
  3 5347,5284,5284 1783,1762,1762
                                                                    ATA, ATA, ATA
                                                                                     GTA, GTA, GTA
                                            I,I,I
                                                         V,V,V
  4 864,864,864,786 288,288,288,262
                                          N,N,N,N
                                                       K,K,K,K AAC,AAC,AAC AAA,AAA,AAA
               Consequence
                                               Gene
                                                                  GeneID
                                                                                     TranscriptID
               <character>
                                                             <character>
                                                                                      <character>
                                        <character>
  3 nonsynonymous, nonsyn..
                                    TET2, TET2, TET2
                                                       54790,54790,54790
                                                                               90440,90441,90443
  4 nonsynonymous, nonsyn.. ZRSR2, ZRSR2, ZRSR2, ZR.. 8233, 8233, 8233, 8233 369217, 369218, 369220...
                                                      DΡ
         GATK
                VarScan
                            Nr_Ref
                                       Nr_Alt
                                                                VAF
                                                                        BQ_REF
                                                                                  BQ_ALT Nr_Ref_fwd
    <numeric> <numeric> <numeric> <numeric> <numeric>
                                                          <numeric> <numeric> <numeric>
                                                                                           <numeric>
  3
            1
                       1
                              1268
                                         1283
                                                    2551 0.50294002
                                                                       38.6680
                                                                                 38.8145
                                                                                                 428
                              1991
                                                    3630 0.00853994
                                                                       37.4591
                                                                                 18.0000
                                                                                                 839
  4
           NA
                       1
                                           31
    Nr_Alt_fwd
                  DP_fwd
                            VAF_fwd Nr_Ref_rev Nr_Alt_rev
                                                               DP_rev
                                                                         VAF_rev
     <numeric> <numeric> <numeric>
                                      <numeric>
                                                 <numeric> <numeric> <numeric>
  3
           469
                      897
                           0.522854
                                            840
                                                        814
                                                                 1654 0.492140
                     1507
                           0.000000
                                                                 2123 0.014602
  4
             0
                                           1152
                                                         31
```

Sample2

Α

\* |

coding, coding, coding

seqinfo: 3 sequences from an unspecified genome; no seqlengths

3

4 106196951

#### 2.6 6th analysis step: Characteristics determination

In the 6th analysis step, an extended set of characteristics for all calls is determined. This includes database check-ups and impact prediction on protein level.

The function evaluateCharacteristics determines an extended set of characteristics for the normalized, annotated, coverage and base quality filtered calls. This includes database check-ups and impact prediction on protein level. Available arguments are:

- output\_folder The folder to write the output files into. If an empty string is provided, no files are written out.
- frequency\_calls A GRanges object. The GRanges object contains one call per line. EvaluateCovAndBQ()-output can directly be taken as input.
- predict String defining the prediction tool to use (accepted strings are: SIFT, Provean, PolyPhen2)
- dbSNP Query dbSNP (144.GRCh37) for the presence of your variants (TRUE or FALSE; default TRUE).
- '1kgenomes' Query 1000 Genomes (phase3) for the presence of your variants (TRUE or FALSE; default TRUE).
- exacDB Query ExAC (r1.0) for the presence of your variants (TRUE or FALSE; default TRUE).
- gadDB Query Genome Aggregation Database (r2.0.1) for the presence of your variants (TRUE or FALSE; default TRUE).
- cosmicDB Query COSMIC (67) for the presence of your variants (TRUE or FALSE; default TRUE).
- clinvarDB Query ClinVar (via rentrez) for the presence of your variants (TRUE or FALSE; default TRUE).

First, the database check-up is performed. At a maximum, dbSNP, 1000Genomes, ExAC, Genome Aggregation Database, COSMIC and ClinVar can be checked. If all the variables are set to FALSE, no database is checked and none will be reported. Thus, the check-up can be disabled.

Second, the impact perdiction on protein level is performed. Impact can be predicted using either SIFT, Provean or PolyPhen2. In any case, the prediction is performed on the basis of rs-IDs. For every call, the predicted effect as well as the score is reported.

A GRanges Object is returned containing all calls, information on their presence in the selected databases and information on the predicted effect. Reported metadata columns are (if all databases are selected): SampleID, Ref, Alt, dbSNP (containing the rs-ID), dbSNP\_MAF, G1000\_AF, ExAC\_AF, GAD\_AF, CosmicID, Cosmic\_Counts (number of Cosmic entries), Clin-Var, Prediction (damaging or neutral), Score (on the basis of the selected prediction tool), c. (variant on cDNA level containing position and variant), c.complement (complement of the variant; if c. is c.5284A>G, then c.complement is c.5284T>C), p. (variant on protein level containing position and amino acids).

Exemplary characteristics of a set of filtered calls:

```
> output_folder <- ""
> #Input:
> filtered
GRanges object with 2 ranges and 30 metadata columns:
                               ranges strand |
                                                                     SampleID
                                                                                                                                                                    Location
       seqnames
                                                                                                       Ref
                                                                                                                                Alt
              <Rle> <IRanges> <Rle> | <character> <character> <character>
                                                                                                                                                              <character>
    3
                     4 106196951
                                                                        Sample2
                                                        * |
                                                                                                            Α
                                                                                                                                    G
                                                                                                                                            coding, coding, coding
    4
                     X 15838366
                                                                        Sample1
                                                                                                            C
                                                                                                                                    A coding, coding, coding...
                                                                                                                                    Codon_ref
                                  с.
                                                                 р.
                                                                                  AA_ref
                                                                                                          AA_alt
                                                                                                                                                                     Codon_alt
                <character>
                                                <character> <character> <character>
                                                                                                                                <character>
                                                                                                                                                                <character>
    3 5347,5284,5284 1783,1762,1762
                                                                                                                                ATA,ATA,ATA
                                                                                    I,I,I
                                                                                                            V,V,V
                                                                                                                                                                GTA, GTA, GTA
    4 864,864,864,786 288,288,288,262
                                                                                N,N,N,N
                                                                                                        K,K,K,K AAC,AAC,AAC AAA,AAA,AAA
                              Consequence
                                                                                                                              GeneID
                                                                                          Gene
                                                                                                                                                                TranscriptID
                              <character>
                                                                                                                    <character>
                                                                                                                                                                   <character>
                                                                            <character>
    3 nonsynonymous, nonsyn...
                                                                     TET2, TET2, TET2
                                                                                                        54790,54790,54790
                                                                                                                                                      90440,90441,90443
    4 nonsynonymous, nonsyn.. ZRSR2, ZRSR2, ZRSR2, ZR.. 8233, 8233, 8233, 8233 369217, 369218, 369220...
                               VarScan
                                                      Nr_Ref
                                                                          Nr_Alt
                                                                                                      DP
                                                                                                                          VAF
                                                                                                                                        BQ_REF
                                                                                                                                                            BQ_ALT Nr_Ref_fwd
        <numeric> <numer
                                                                                                                                                                            <numeric>
    3
                       1
                                            1
                                                          1268
                                                                              1283
                                                                                                  2551 0.50294002
                                                                                                                                      38.6680
                                                                                                                                                          38.8145
                                                                                                                                                                                        428
                     NA
                                            1
                                                          1991
                                                                                                  3630 0.00853994
                                                                                                                                      37.4591
                                                                                                                                                          18.0000
                                                                                                                                                                                        839
                                                                                  31
       Nr_Alt_fwd
                                    DP_fwd
                                                      VAF_fwd Nr_Ref_rev Nr_Alt_rev
                                                                                                                                          VAF_rev
                                                                                                                        DP_rev
          <numeric> <numeric> <numeric> <numeric> <numeric> <numeric> <numeric> <numeric>
                     469
                                         897 0.522854
                                                                                    840
                                                                                                          814
                                                                                                                            1654 0.492140
                                        1507 0.000000
                                                                                  1152
                                                                                                                            2123 0.014602
    4
                         Ω
                                                                                                            31
    seqinfo: 3 sequences from an unspecified genome; no seqlengths
> characteristics <- determineCharacteristics(output_folder, filtered,
                                                                                            predict = "Provean")
> characteristics
GRanges object with 2 ranges and 15 metadata columns:
                               ranges strand |
                                                                     SampleID
                                                                                                        Ref
                                                                                                                                Alt
                                                                                                                                                    dbSNP dbSNP_MAF G1000_AF
              <Rle> <IRanges> <Rle> | <character> <character> <character> <character> <character> <character> <logical> <numeric>
                     4 106196951
    3
                                                        * |
                                                                        Sample2
                                                                                                            Α
                                                                                                                                    G
                                                                                                                                            rs2454206
                                                                                                                                                                           <NA>
                                                                                                                                                                                               0.23
    4
                     X 15838366
                                                        * |
                                                                        Sample1
                                                                                                            C
                                                                                                                                    Α
                                                                                                                                                      <NA>
                                                                                                                                                                           <NA>
                                                                                                                                                                                               0.44
           ExAC_AF
                                 GAD_AF CosmicID Cosmic_Counts Prediction
                                                                                                                                Score
       <numeric> <numeric> <logical>
                                                                            <logical> <character> <numeric>
                                                                                                                                                                  <character>
    3
                 0.27
                                     0.30
                                                          <NA>
                                                                                      <NA>
                                                                                                        Neutral
                                                                                                                              -0.061 \text{ c.}5347\text{A}\text{-G,c.}5284\text{A}\text{-G,..}
                 0.47
                                     0.47
                                                                                      <NA>
                                                                                                              <NA>
    4
                                                          <NA>
                                                                                                                                      NA c.864C>A,c.864C>A,c...
                            c.complement
                                                                                              р.
                              <character>
                                                                            <character>
    3 c.5347T>C,c.5284T>C,.. p.I1783V,p.I1762V,p...
    4 c.864G>T,c.864G>T,c... p.N288K,p.N288K,p.N2...
```

seqinfo: 3 sequences from an unspecified genome; no seqlengths

#### 2.7 7th analysis step: Final filtration

In the 7th analysis step, the final filtration according to the appreci8-algorithm is performed.

The function finalFiltration performs the final filtration according to the appreci8-algorithm. The previously determined characteristics of the calls are evaluated and an automatic categorization of the calls is performed. Possible categories are: Probably true or Hotspot, Polymorphism and Artifact. Available arguments are:

- output\_folder The folder to write the output files into. If an empty string is provided, no files are written out.
- frequency\_calls A GRanges object. The GRanges object contains one call per line. EvaluateCovAndBQ()-output can directly be taken as input.
- database\_calls A GRanges object. The GRanges object contains one call per line. EvaluateCharacteristics()-output can directly be taken as input.
- combined\_calls A GRanges object. The GRanges object contains one call per line. CombineOutput()-output can directly be taken as input.
- damaging\_safe Threshold for the impact prediction score, identifying reliably damaging calls. For example, if Provean has been selected as the impact prediction tool, the internal threshold of the tool is -2.5. However, calls with a score of -2.51 are less likely to have a correct "Damaging" prediction compared to calls with a score of -12.0. A threshold of -5.0 for damaging\_safe marks all calls with a score below -5.0 as reliably damaging.
- tolerated\_safe Threshold for the impact prediction score, identifying reliably tolerated calls. For example, if Provean has been selected as the impact prediction tool, the internal threshold of the tool is -2.5. However, calls with a score of -2.49 are less likely to have a correct "Neutral" prediction compared to calls with a score of +7.0. A threshold of -1.0 for tolerated\_safe marks all calls with a score above -1.0 as reliably tolerated.
- primer Optional: Data.frame containing primer positions in bed-format, i.e. 1st column chromosome, 2nd column 0-based start position, 3rd column 1-based end position (default: NA).
- hotspots Optional: Data frame containing expected/hotspot mutations: 1st column gene name (e.g. ASXL1), 2nd column mutation on AA level (e.g. G12S or E628fs or I836del or G12 if any AA change at this position is considered an expected/hotspot mutation), 3rd column minimum VAF or NA (default: NA).
- overlapTools Vector of strings containing the names of variant calling tools that, should a call be overlappingly reported by these tools, will be rewarded.
- nrsamples Threshold for the number of samples. Shold a variant be detected in more than the threshold defined by nrsamples, it will be interpreted as possible evidence for an artifact (default: 3).
- dp Minimum depth that is required for a call (default: 50).

- nr\_alt Minimum number of reads carrying the alternate allele that is required for a call (default: 20).
- vaf Minimum VAF that is required for a call (default: 0.01).
- bq Minimum base quality that is required for a call (default: 15; values above 44 not recommended).
- bq\_diff Maximum difference in basequality between reference and alternative that is allowed for a call (default: 7).
- detectedLow Value added to the artifact score if more than nrsamples number of samples feature the same call (default: 2).
- detectedHigh Value added to the artifact score if more than 50% of the samples analyzed (if more than 1 sample is analyzed) feature the same call, which is not an expected/hotspot mutation (default: 2).
- isIndel Value added to the artifact score if the call is an indel (default: 1).
- isIndelVAF Value added to the artifact score if the call is an indel and the VAF is below 0.05 (default: 1).
- detectedLowVAF Value added to the artifact score if more than nrsamples number of samples feature the same call and the VAF is above 0.85 (default: 2).
- noPrimerP Value added to the artifact score if no primer information is provided or no primer is located at this position and the p value (Fisher's Exact Test evaluating forward and reverse reads to determine strandbias) is below 0.001 (default: 1).
- primerPalt Value added to the artifact score if no primer information is provided or no primer is located at this position and the p value (Fisher's Exact Test evaluating forward and reverse reads to determine strandbias) is below 0.001 and Nr\_Alt\_fwd is at least Nr\_Alt/2 and Nr\_Alt\_rev is at least Nr\_Alt/1 (default: -1).
- noPrimerPFwd Value added to the artifact score if no primer information is provided or no primer is located at this position and the p value (Fisher's Exact Test evaluating forward and reverse reads to determine strandbias) is at least 0.001 and Nr\_Ref\_fwd is at least (DP-Nr\_Alt)/2 and Nr\_Alt\_fwd is below 3 (default: 1).
- primerPFwd Value added to the artifact score if no primer information is provided or no primer is located at this position and the p value (Fisher's Exact Test evaluating forward and reverse reads to determine strandbias) is below 0.001 and Nr\_Ref\_fwd is below (DP-Nr\_Alt)/2 and Nr\_Alt\_fwd is below 3 (default: -1).
- noPrimerPRev Value added to the artifact score if no primer information is provided or no primer is located at this position and the p value (Fisher's Exact Test evaluating forward and reverse reads to determine strandbias) is at least 0.001 and Nr\_Ref\_rev is at least (DP-Nr\_Alt)/2 and Nr Alt rev is below 3 (default: 1).

- primerPRev Value added to the artifact score if no primer information is provided or no primer is located at this position and the p value (Fisher's Exact Test evaluating forward and reverse reads to determine strandbias) is below 0.001 and Nr\_Ref\_rev is below (DP-Nr\_Alt)/2 and Nr\_Alt\_rev is below 3 (default: -1).
- primerLocation Value added to the artifact score if a call is located at a position where a primer is located (default: -1).
- vafLow Value added to the artifact score if the VAF is below 0.02 (default: 2).
- databaseVAF Value added to the artifact score if the VAF is below 0.10 and the variant was not found in any database (default: 1).
- databaseHigh Value added to the artifact score if the variant was detected in at least 50% of all samples, but not found in any database (default: 1).
- predictionSafe Value added to the artifact score if the variant has a reliable damaging prediction (default: -1).
- predictionVAF Value added to the artifact score if the variant has a reliable tolerated prediction and a VAF below 0.35 or between 0.65 and 0.85 (default: 1).
- nrcaller4 Intermediate number of callers that overlappingly reported a variant (default: 4).
- reward4 Value added to the artifact score if a variant is overlappingly reported by nrcaller4 callers (default: -1).
- nrcaller5 High number of callers that overlappingly reported a variant (default: 5).
- reward5 Value added to the artifact score if a variant is overlappingly reported by nrcaller5 callers (default: -1).
- nrcaller6 Very high number of callers that overlappingly reported a variant (default: 6).
- reward6 Value added to the artifact score if a variant is overlappingly reported by nrcaller6 callers (default: -1).
- oneCaller Value added to the artifact score if a variant is only reported by one caller (default: 1).
- BQ\_AltMean Value added to the artifact score if the base quality of a variant is below mean(BQ\_Alt)-3\*(BQ\_Alt) over all variants (default: 4).
- knownHotspot Value added to the artifact score if a variant is an expected/hotspot mutation (default: -3).
- overlapReward Value added to the artifact score if a variant is overlappingly reported by the tools defined in overlapTools (default: -3).
- artifactThreshold Threshold for the artifact score, i.e. variants with a score below this threshold will be categorized as "probably true" (default: 0).

- polyDetected Value added to the polymorphism score if more than nrsamples number of samples feature the same call (default: 1).
- polyDetectedOnce Value added to the polymorphism score if a variant is only detected in one sample (default: -1).
- polyDatabasesPolyLow Intermediate number of polymorphism databases that have information on a variant (default: 2).
- polyDatabasesPolyLowReward Value added to the polymorphism score if a variant is present in at least polyDatabasesPolyLow databases (default: 1).
- polyDatabasesPolyHigh High number of polymorphism databases that have information on a variant (default: 4).
- polyDatabasesPolyHighReward Value added to the polymorphism score if a variant is present in at least polyDatabasesPolyHigh databases (default: 1).
- polyDatabasesMut Critical number of mutation databases that have information on a variant (default: 2).
- polyDatabasesMutReward Value added to the polymorphism score if a variant is present in at least polyDatabasesMut databases (default: -1).
- polyNoDatabase Value added to the polymorphism score if a variant is not present in any polymorphism database (default: -1).
- polyDatabases High number of databases that have information on a variant (default: 6).
- polyDatabasesReward Value added to the polymorphism score if a variant is present in at least polyDatabases databases (default: 1).
- polyEffect Value added to the polymorphism score if a variant is not a frameshift variant, not a stop gain variant and not a stop lost variant (default: 1).
- polyVAF Value added to the polymorphism score if the VAF of a variant is between 0.65 and 0.35 or above 0.85 (default: 1).
- polyPrediction Value added to the polymorphism score if the variant has a reliable tolerated prediction (default: 1).
- polyPredictionEffect Value added to the polymorphism score if a variant has a reliable damaging prediction or is a stop gain variant or is a stop lost variant (default: -1).
- polyCosmic Critical number of COSMIC entries (default: 100).
- polyThresholdCritical Threshold for the polymorphism score if the number of COSMIC entries is not critical, i.e. variants with at least this score will be categorized as "polymorphism" (default: 2).
- polyThreshold Threshold for the polymorphism score if the number of COSMIC entries is critical, i.e. variants with at least this score will be categorized as "polymorphism" (default: 3).

- PolymorphismVAF10 Value added to the artifact score if a variant is a "polymorphism" based on the polymorphism score, but the VAF is below 0.10 (default: 5).
- PolymorphismVAF20 Value added to the artifact score if a variant is a "polymorphism" based on the polymorphism score, but the VAF is below 0.20 (default: 2).
- PolymorphismFrame Value added to the artifact score if a variant is a "polymorphism" based on the polymorphism score, but it is a frameshift variant (default: 2).

Final filtration consists of several steps:

- Frequency and base quality are re-considered. Stricter thresholds compared to evaluateCovAndBQ can be defined.
- 2. Samples with the same call are considered. Counting is based on the normalized and annotated calls, not on the coverage- and base quality filtered calls.
- 3. Samples with a call at the same position are considered (e.g. A>AG at pos 1 in sample 1 and A>AGG at pos 1 in sample 2 are reported as 2 calls at the same position). Counting is based on the normalized and annotated calls, not on the coverage- and base quality filtered calls.
- 4. Background information is considered. The number of samples with the same variant in the coverage- and base quality filtered data are considered.
- 5. Number of databases is considered. Dependent on the previously selected number of databases. The presence of a variant in mutation- and polymorphism databases is evaluated.
- 6. VAF in relation to a predicted effect is considered. Variants are marked if their VAF is typical of polymorphisms and their predicted effect is "tolerated".
- 7. VAF in relation to the number of samples is considered. Variants are marked if they are detected in more than nrsamples samples and the VAF is at least 0.85 in more than 90% of these samples.
- 8. Strandbias is considered. Fisher's Exact Test is performed to analyze the relation between forward-reverse and reference-alternate allele.
- 9. Hotspot list if any is provided is considered. Variants are marked if they are present on the hotspot list.
- 10. Final filtration is performed. The artifact- and the polymorphism score are calculated. On the basis of these two scores, a call is either classified as a probably true/hotspot call, polymorphism or artifact.

A GRanges object is returned containing all calls with a predicted category. Categories can be: probably true, hotspot, polymorphism or artifact. Reported metadata columns are: SampleID, Ref, Alt, Gene, GeneID, TranscriptID, Location, Consequence, c. (variant on cDNA level containing position and variant), c.complement (complement of the variant; if c. is c.5284A>G, then c.complement is c.5284T>C), p. (variant on protein level containing position and amino acids), Codon\_ref, Codon\_alt, Nr\_Ref, Nr\_Alt, DP, VAF, Caller1 to CallerX (dependent on the number of callers that is evaluated), dbSNP (containing the rs-ID), dbSNP\_MAF, G1000\_AF,

ExAC AF, GAD AF, CosmicID, Cosmic Counts (number of Cosmic entries), ClinVar, Prediction (damaging or neutral), Score (on the basis of the selected prediction tool), BQ\_REF, BQ\_ALT, Nr\_Ref\_fwd, Nr\_Alt\_fwd, DP\_fwd, VAF\_rev, Nr\_Ref\_rev, Nr\_Alt\_rev, DP\_rev, VAF\_rev, strandbias, nr samples (number of samples with the same variant), nr samples similar (number of samples with a variant at the same position), Category.

If an output folder is provided, the output is saved as Results Final.txt. Additionally, Results Final.xlsx is saved, containing three sheets: Mutations, Polymorphisms and Artifacts.

- > output\_folder <- ""
- > #Input:
- > filtered

GRanges object with 2 ranges and 30 metadata columns:

41 UCL	-505 05J00	0 11 11 1		or and		moodaada	0014						
	seqnames	range	es str	and		${\tt SampleID}$		Ref	Ī	Al	t	Lo	cation
	<rle></rle>	<iranges< td=""><td>s&gt; <r< td=""><td>le&gt;  </td><td><ch< td=""><td>aracter&gt; &lt;</td><td>chara</td><td>acter&gt;</td><td><ch< td=""><td>aracter</td><td>&gt;</td><td><char< td=""><td>acter&gt;</td></char<></td></ch<></td></ch<></td></r<></td></iranges<>	s> <r< td=""><td>le&gt;  </td><td><ch< td=""><td>aracter&gt; &lt;</td><td>chara</td><td>acter&gt;</td><td><ch< td=""><td>aracter</td><td>&gt;</td><td><char< td=""><td>acter&gt;</td></char<></td></ch<></td></ch<></td></r<>	le>	<ch< td=""><td>aracter&gt; &lt;</td><td>chara</td><td>acter&gt;</td><td><ch< td=""><td>aracter</td><td>&gt;</td><td><char< td=""><td>acter&gt;</td></char<></td></ch<></td></ch<>	aracter> <	chara	acter>	<ch< td=""><td>aracter</td><td>&gt;</td><td><char< td=""><td>acter&gt;</td></char<></td></ch<>	aracter	>	<char< td=""><td>acter&gt;</td></char<>	acter>
3	4	10619695	51	*		Sample2		_	A		G codi	ng,coding,	coding
4	Х	1583836	66	*		Sample1		(	C		A coding	coding,coo	ding
		с.			p.	AA_r	ref	A.A	\_alt		Codon_re	f Co	don_alt
	<char< td=""><td>acter&gt;</td><td><c< td=""><td>haract</td><td>ter&gt;</td><td><characte< td=""><td>er&gt; &lt;</td><td>charac</td><td>cter&gt;</td><td><c.< td=""><td>haracter;</td><td>&gt; <char< td=""><td>racter&gt;</td></char<></td></c.<></td></characte<></td></c<></td></char<>	acter>	<c< td=""><td>haract</td><td>ter&gt;</td><td><characte< td=""><td>er&gt; &lt;</td><td>charac</td><td>cter&gt;</td><td><c.< td=""><td>haracter;</td><td>&gt; <char< td=""><td>racter&gt;</td></char<></td></c.<></td></characte<></td></c<>	haract	ter>	<characte< td=""><td>er&gt; &lt;</td><td>charac</td><td>cter&gt;</td><td><c.< td=""><td>haracter;</td><td>&gt; <char< td=""><td>racter&gt;</td></char<></td></c.<></td></characte<>	er> <	charac	cter>	<c.< td=""><td>haracter;</td><td>&gt; <char< td=""><td>racter&gt;</td></char<></td></c.<>	haracter;	> <char< td=""><td>racter&gt;</td></char<>	racter>
3	5347,528	4,5284	1783,	1762,	1762	I,I	[,I	I	7,V,V	AT.	A,ATA,ATA	A GTA,	GTA,GTA
4	864,864,8	64,786 2	288,28	88,288	,262	N,N,N	l,N	К,	K,K,K	AAC, AA	C,AAC,AA	C AAA,AAA,	AAA,AAA
		<pre>&gt; <iranges></iranges></pre>				GeneID TranscriptID			scriptID				
Consequence Gene GeneID TranscriptI <a href="https://doi.org/10.2007/journal.com/">Consequence Gene GeneID TranscriptI <a href="https://doi.org/">Consequence Gene GeneID TranscriptI <a href="https://doi.org/">Consequence Gene GeneID TranscriptI <a href="https://doi.org/">Consequence GeneID TranscriptI <a< td=""><td>aracter&gt;</td></a<></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a>										aracter>			
3 nonsynonymous,nonsyn TET2,TET2,TET2 54790,54790,54790 90440,90441,90443									•				
4	nonsynony	mous, nor	nsyn	ZRSR	2,ZR	SR2,ZRSR2,	ZR	8233,	8233	,8233,8	233 36923	17,369218,3	369220
	GATK			_		_		DP		VAF	BQ_REF	-	Nr_Ref_fwd
	<numeric></numeric>	<numeri< td=""><td>ic&gt; <n< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td><numeric></numeric></td></n<></td></numeri<>	ic> <n< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td><numeric></numeric></td></n<>										<numeric></numeric>
3	1		_							94002	38.6680		428
4	NA		1							53994	37.4591		839
	Nr_Alt_fw	_	_				Nr_A	Alt_re	v	DP_rev	VAF_re	ev	
										umeric>	<numerio< td=""><td>c&gt;</td><td></td></numerio<>	c>	
3	46							81		1654	0.49214	10	
4		0 1	1507	0.000	000	1152	2	3	31	2123	0.01460	02	
-													

seqinfo: 3 sequences from an unspecified genome; no seqlengths

#### > characteristics

GRanges object with 2 ranges and 15 metadata columns:

Ranges object with 2 ranges and 10 metadata corumns.											
	seqnames	ranges strand		SampleID	Ref	Alt dbSNP		${\tt dbSNP\_MAF}$	G1000_AF		
	<rle></rle>	<iranges></iranges>	<rle>   &lt;</rle>	character> <ch< td=""><td>aracter&gt; <ch< td=""><td>aracter&gt; &lt;</td><td>character&gt;</td><td><logical></logical></td><td><numeric></numeric></td></ch<></td></ch<>	aracter> <ch< td=""><td>aracter&gt; &lt;</td><td>character&gt;</td><td><logical></logical></td><td><numeric></numeric></td></ch<>	aracter> <	character>	<logical></logical>	<numeric></numeric>		
3	4	106196951	*	Sample2	A	G	rs2454206	<na></na>	0.23		
4	X	15838366	*	Sample1	C	A	<na></na>	<na></na>	0.44		
	ExAC_AF	ExAC_AF GAD_AF CosmicID Cosmic_Counts		Prediction	Score			с.			
	<numeric></numeric>	<pre> <numeric></numeric></pre>	<logical></logical>	<pre><logical></logical></pre>	<character></character>	<numeric></numeric>		<characte< td=""><td>er&gt;</td></characte<>	er>		
3	0.27	0.30	<na></na>	<na></na>	Neutral	-0.061	c.5347A>G	,c.5284A>G	,		
4	0.47	0.47	<na></na>	<na></na>	<na></na>	NA	c.864C>A,	c.864C>A,c			

```
c.complement
               <character>
                                       <character>
  3 c.5347T>C,c.5284T>C,.. p.I1783V,p.I1762V,p...
  4 c.864G>T,c.864G>T,c... p.N288K,p.N288K,p.N2...
  seqinfo: 3 sequences from an unspecified genome; no seqlengths
> combined
GRanges object with 3 ranges and 16 metadata columns:
                                                      Ref
                                                                                      Location
    segnames
                ranges strand |
                                    SampleID
                                                                   Alt.
       <Rle> <IRanges>
                        <Rle> | <character> <character> <character>
                                                                                   <character>
 2
           2 25469504
                             * |
                                     Sample1
                                                                     T coding, coding, coding...
                                                        G
  3
           4 106196951
                             * |
                                     Sample2
                                                                         coding, coding, coding
                                                        Α
  4
           X 15838366
                             * |
                                     Sample1
                                                        C
                                                                     A coding, coding, coding...
                         с.
                                                         AA_ref
                                                                      AA alt
                                                                                           Codon_ref
                                                 p.
               <character>
                                       <character> <character> <character>
                                                                                         <character>
  2 1264,1264,697,1264,5.. 422,422,233,422,199,.. L,L,L,L,L,L,M,M,M,M,M,M,M,M,CTG,CTG,CTG,CTG,...
  3
            5347,5284,5284
                                    1783,1762,1762
                                                                       V,V,V
                                                          I,I,I
                                                                                         ATA, ATA, ATA
  4
           864,864,864,786
                                   288,288,288,262
                                                        N,N,N,N
                                                                     K,K,K,K
                                                                                     AAC, AAC, AAC, AAC
                 Codon_alt
                                       Consequence
                                                                       Gene
                                                                                             GeneID
               <character>
                                        <character>
                                                                <character>
                                                                                        <character>
  2 ATG, ATG, ATG, ATG, ATG, ... nonsynonymous, nonsyn... DNMT3A, DNMT3A, DNMT3A... 1788, 1788, 1788, 1788, ...
  3
                                                            TET2, TET2, TET2
               GTA,GTA,GTA nonsynonymous,nonsyn...
                                                                                  54790,54790,54790
  4
           AAA, AAA, AAA nonsynonymous, nonsyn.. ZRSR2, ZRSR2, ZRSR2, ZR...
                                                                               8233,8233,8233,8233
              TranscriptID
                                 GATK
                                        VarScan
               <character> <numeric> <numeric>
  2 49601,49602,49603,49...
                                    1
                                              NΑ
         90440,90441,90443
                                    1
                                               1
  4 369217,369218,369220...
                                   NA
                                               1
  seqinfo: 3 sequences from an unspecified genome; no seqlengths
> final<-finalFiltration(output_folder, frequency_calls = filtered,
                          database_calls = characteristics,
                          combined_calls = combined, damaging_safe = -3,
                          tolerated_safe = -1.5, overlapTools = c("VarScan"),
                          bq_diff = 20, vaf = 0.001)
> final
GRanges object with 2 ranges and 43 metadata columns:
    segnames
                ranges strand |
                                    SampleID
                                                      Ref
                                                                                          Gene
                                                                   Alt.
       <Rle> <IRanges> <Rle> | <character> <character> <character>
                                                                                   <character>
           4 106196951
                                                                               TET2, TET2, TET2
 3
                             * |
                                     Sample2
                                                        Α
           X 15838366
                             * |
                                     Sample1
                                                        C
                                                                     A ZRSR2, ZRSR2, ZRSR2, ZR...
                 GeneID
                                   TranscriptID
                                                                Location
                                                                                     Consequence
```

<character>

<character>

<character>

<character>

```
54790,54790,54790
                            90440,90441,90443
                                                 coding, coding nonsynonymous, nonsyn...
4 8233,8233,8233,8233 369217,369218,369220.. coding,coding,coding.. nonsynonymous,nonsyn..
                                     c.complement
                                                                                 Codon_ref
             <character>
                                      <character>
                                                              <character>
                                                                               <character>
3 c.5347A>G,c.5284A>G,.. c.5347T>C,c.5284T>C,.. p.I1783V,p.I1762V,p...
                                                                               ATA, ATA, ATA
4 c.864C>A,c.864C>A,c... c.864G>T,c.864G>T,c... p.N288K,p.N28K,p.N2.. AAC,AAC,AAC
                                               DP
        Codon_alt
                      Nr_Ref
                                Nr_Alt
                                                          VAF
                                                                   GATK
                                                                           VarScan
                                                                                       Called
      <character> <numeric> <numeric> <numeric>
                                                   <numeric> <numeric> <numeric> <numeric>
3
      GTA, GTA, GTA
                        1268
                                   1283
                                             2551 0.50294002
                                                                       1
4 AAA,AAA,AAA,AAA
                        1991
                                     31
                                             3630 0.00853994
                                                                     NA
                                                                                 1
                                                                                            1
        dbSNP dbSNP_MAF
                          G1000_AF
                                      ExAC_AF
                                                 GAD_AF
                                                          CosmicID Cosmic_Counts
                                                                                   Prediction
  <character> <logical>
                         <numeric>
                                   <numeric>
                                              <numeric>
                                                         <logical>
                                                                        <logical>
                                                                                  <character>
3
    rs2454206
                    <NA>
                              0.23
                                         0.27
                                                   0.30
                                                              <NA>
                                                                             <NA>
                                                                                       Neutral
4
         <NA>
                    <NA>
                              0.44
                                         0.47
                                                   0.47
                                                              <NA>
                                                                             <NA>
                                                                                          <NA>
      Score
               BQ_REF
                          BQ_ALT Nr_Ref_fwd Nr_Alt_fwd
                                                            DP_fwd
                                                                      VAF_fwd Nr_Ref_rev Nr_Alt_rev
                                                                   <numeric>
  <numeric> <numeric> <numeric>
                                   <numeric>
                                              <numeric>
                                                         <numeric>
                                                                               <numeric>
                                                                                           <numeric>
     -0.061
3
              38.6680
                         38.8145
                                         428
                                                     469
                                                               897
                                                                    0.522854
                                                                                     840
                                                                                                 814
                                         839
                                                       0
                                                                                                  31
4
         NA
              37.4591
                         18.0000
                                                              1507
                                                                    0.000000
                                                                                    1152
     DP_rev
              VAF_rev
                        strandbias nr_samples nr_samples_similar
                                                                        Category
  <numeric> <numeric>
                         <numeric>
                                     <integer>
                                                         <integer>
                                                                     <character>
3
       1654
             0.492140 1.46693e-01
                                                                 1 Polymorphism
                                             1
       2123
             0.014602 6.69655e-08
                                                                 1 Artifact (4)
4
                                             1
```

seqinfo: 3 sequences from an unspecified genome; no seqlengths

## 3 appreci8R - GUI

appreci8R combines and filters the output of different variant calling tools according to the 'appreci8'-algorithm. The whole analysis-pipeline consists of seven steps. Individual functions for executing these steps are available. However, an additional user-interface is available that enables easy execution of the analysis-pipeline, restarting parts of the pipeline from checkpoints, changing parameters, exporting and importing the configuration and evaluation of the results. The user-interface is opend by executing the function appreci8Rshiny (no arguments have to be provided).

The user-interface is structured by tabs: Analysis, Overview results and Detailed results.

- Analysis: The tab is essential for performing the whole analysis. It is further separated into 10 additional tabs:
  - Important notes: General information on prerequisites for performing the analysis and current limitations (only hg19).
  - 0. Preparations: Defining the general output folder and the varaint caller input. GATK, Platypus, VarScan, FreeBayes, LoFreq, SNVer, SamTools and VarDict are available as these make up the classical appreci8-pipeline. The tools can be added or removed. For every tool the following parameters have to be defined: the folder containing the variant calling results, the output file type (vcf or txt; as vcf is a standardized format, the columns containing chr, pos, ref and alt are assumed to be fixed; when selecting txt, the

columns containing chr, pos, ref and alt have to be defined), a possible suffix for naming the output, if MNVs are reported (AG>CT instead of A>C and G>T), if SNVs and indels are reported in one file (if not, what is the suffix for the SNV files and what is the suffix for the indel files?), and how are indels reported. In addition to the eight tools, up to 5 additional tools can be added (select the number and click Go). In addition to the previous parameters, a name can be defined for each additionally added tool.

- 1. Target filtration: Upload your target region (bed file; chromosome definition without 'chr').

#### - 2. Normalization

- 3. Annotation: Annotates the variants and filters them according to the selected locations (coding, intron, threeUTR, fiveUTR, intergenic, spliceSite, promoter) and consequences (synonymous, nonsynonymous, frameshift, nonsense, not translated) of interest.
- 4. Combine output
- 5. Evaluate Coverage and BQ: Evaluate coverage and base quality by analyzing the bam files. Calls with insufficient coverage and or base quality are filtered. The following parameters have to be defined: the folder containing the bam- and bai files, minimum coverage, minimum number of reads carrying the alternate allele, minimum VAF, minimum base quality and the maximum difference in base quality between reference and alternative.
- 6. Extended Set of Characteristics: Select the databases you would like to query (dbSNP, 1000Genomes, ExAC, Genome Aggregation Database, COSMIC, ClinVar) and the source you would like to use for impact prediction (SIFT, Provean, PolyPhen2).
- 7. Final Filtration: Adjust parameters and define input for the final filtration. A bed file containing the primer positions can be uploaded (optional). A txt file containing expected/hotspot mutations (defined via gene, mutation on AA level, and an optional minimum VAF) can be uploaded (optional). Stricter thresholds for coverage and base quality can be defined (see parameters for 5. Evaluate Coverage and BQ). The number of samples making a call striking can be defined. Dependent on the previously selected source for impact prediction (SIFT, Provean, PolyPhen2), a threshold can be defined to identify reliable damaging predictions and reliable tolerated prediction. Furthermore, the default scoring for the artifact- and the polymorphism score can be changed (just recommended for experts).
- Action: The complete analysis can be executed (Start complete analysis). The availability of checkpoints can be checked (Check for possible checkpoints). If checkpoints are available, one can be selected and the analysis can be started from the selected checkpoint. The defined configuration can be exported (Export current configuration) as well as imported (Import configuration). Press Done if you are done with your analysis.
- Overview results: The tab provides an overview of the results. It is further separated into 5 additional tabs:
  - Log: The log of the analysis is displayed here. In case of errors, e.g. input not being available, these messages are also reported in the log.

- Raw Calls: The raw number of calls per sample and per caller are reported.
- Calls On Target: The number of calls on target per sample and per caller are reported.
- Annotaed Calls: The number of annotated (and filtered according to annotation) calls per sample and per caller are reported.
- Filtered Calls: The number of coverage and base quality filtered calls per sample is reported.
- Detailed results: The tab provides detailed information on the results. It is further separated into 3 additional tabs:
  - Mutations: Variant calls classified as likely true mutations.
  - Polymorphisms: Variant calls classified as likely polymorphisms.
  - Artifacts: Variant calls classified as likely artifacts.

No value is returned. Output files for the different analysis steps are automatically saved.

> appreci8Rshiny()

# References

- Morgan, Pagès, V Obenchain, and Ν Hayden. Rsamtools: Bialignment (bam), fasta, variant call (bcf) and tabix file import. http://bioconductor.org/packages/release/bioc/html/Rsamtools.html.
- [2] V Obenchain, M Lawrence, V Carey, S Gogarten, P Shannon, and M Morgan. Variantannotation: a bioconductor package for exploration and annotation of genetic variants. *Bioinformatics*, 30(14), 2014.
- [3] S Sandmann, M Karimi, A de Graaf, C Rohde, S Göllner, J Varghese, J Ernsting, G Walldin, B van der Reijden, C Müller-Tidow, L Malcovati, E Hellström-Lindberg, J Jansen, and M Dugas. appreci8: A pipeline for precise variant calling integrating 8 tools, 2018. https://doi.org/10.1093/bioinformatics/bty518.